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**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

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**FORM 8-K**

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**CURRENT REPORT**

**Pursuant to Section 13 OR 15(d) of The Securities Exchange Act of 1934**

**Date of Report (Date of earliest event reported): October 29, 2020**

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**Advanced Energy Industries, Inc.**

(Exact name of registrant as specified in its charter)



**Delaware**  
(State or other jurisdiction of  
incorporation)

**000-26966**  
(Commission File Number)

**84-0846841**  
(IRS Employer Identification No.)

**1595 Wynkoop Street, Suite 800, Denver, Colorado**  
(Address of principal executive offices)

**80202**  
(Zip Code)

**(970) 407-6626**  
(Registrant's telephone number, including area code)

**Not applicable**  
(Former name or former address, if changed since last report)

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Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- ☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- ☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- ☐ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- ☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Securities registered pursuant to Section 12(b) of the Act:

Title of each class  
**Common Stock, \$0.001 par value**

Trading Symbol(s)  
**AEIS**

Name of each exchange on which registered  
**NASDAQ Global Select Market**

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (17 CFR §230.405) or Rule 12b-2 of the Securities Exchange Act of 1934 (17 CFR §240.12b-2). Emerging growth company ☐

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act. ☐

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**Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.**

On October 29, 2020, Neil Brinker, President and Chief Operating Officer of Advanced Energy Industries, Inc. (the “Company”), advised the Company that he has decided to leave the Company and return to the Midwest due to family reasons. Mr. Brinker has resigned his position immediately and will assist in the transition process as an employee of the Company through the end of November 2020. Yuval Wasserman, the Company’s Chief Executive Officer, will assume the position of President immediately.

Mr. Brinker’s resignation is not a reflection on the Company’s results of operations and was not the result of any dispute or disagreement with the Company or management on any matter relating to the Company’s operations, policies, practices or financial statements, including its controls or other financial related matters.

**Item 8.01 Other Events.**

A press release dated November 2, 2020, announcing Mr. Brinker’s resignation is attached as Exhibit 99.1 to this Current Report on Form 8-K and is incorporated herein by reference.

**Item 9.01 Financial Statements and Exhibits.**

(d) Exhibits

| <b>Exhibit<br/>Number</b> | <b>Description</b>  |
|---------------------------|---|
| 99.1                      | <a href="#">Press release dated November 2, 2020 of Advanced Energy Industries, Inc.</a>                  |
| 104                       | The cover page from Advanced Energy Industries, Inc. Current Report on Form 8-K, formatted in Inline XBRL |

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## SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: November 2, 2020

/s/ Paul R Oldham

Paul R Oldham

Executive Vice President & Chief Financial Officer

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## **Advanced Energy Announces Departure of President & COO**

DENVER, Colo., November 2, 2020 — Advanced Energy Industries, Inc. (NASDAQ: AEIS), a global leader in highly engineered, precision power conversion, measurement and control solutions, today announced that Neil Brinker, the company's President & Chief Operating Officer, has decided to leave the company and return to the Midwest due to family reasons. Mr. Brinker will assist in the transition process through the end of November. Yuval Wasserman, the company's Chief Executive Officer, will assume the position of President immediately.

"My decision to resign is due to family circumstances," stated Mr. Brinker. "I regret the sudden nature of this decision but believe that it is in the best interests of my family and the organization. I have great confidence in the company and wish everyone continued success."

"On behalf of the Board and management team, I want to thank Neil for his service to Advanced Energy and wish him and his family the very best," stated Yuval Wasserman, Chief Executive Officer.

Mr. Brinker's resignation is not a reflection on the company's results of operations and was not the result of any dispute or disagreement with the company or management. The company will announce results for the third quarter of 2020 on our previously scheduled date of Thursday, November 5<sup>th</sup>, 2020.

### **About Advanced Energy**

Advanced Energy (Nasdaq: AEIS) is a global leader in the design and manufacturing of highly engineered, precision power conversion, measurement and control solutions for mission-critical applications and processes. AE's power solutions enable customer innovation in complex applications for a wide range of industries including semiconductor equipment, industrial, manufacturing, telecommunications, data center computing and healthcare. With engineering know-how and responsive service and support around the globe, the company builds collaborative partnerships to meet technology advances, propel growth for its customers and innovate the future of power. Advanced Energy has devoted more than three decades to perfecting power for its global customers and is headquartered in Denver, Colorado, USA. For more information, visit [www.advancedenergy.com](http://www.advancedenergy.com).

Advanced Energy | Precision. Power. Performance.

### **For more information, contact:**

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### **Advanced Energy Industries, Inc.**

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